

Investor complaints data for Investment Advisory

Data for every month ending - December 2021

SN	Received from	Pending as at the end of last month	Received*	Resolved*	Total Pending#	Pending complaints > 3 month	Average Resolution time^(in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending #
1	Apr-21	NIL	NIL	NIL	NIL
2	May-21	NIL	NIL	NIL	NIL
3	Jun-21	NIL	NIL	NIL	NIL
4	Jul-21	NIL	NIL	NIL	NIL
5	Aug-21	NIL	NIL	NIL	NIL
6	Sep-21	NIL	NIL	NIL	NIL
7	Oct-21	NIL	NIL	NIL	NIL
8	Nov-21	NIL	NIL	NIL	NIL
9	Dec-21	NIL	NIL	NIL	NIL
10	Jan-22				
11	Feb-22				
12	Mar-22				
	Grand Total				

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received	Resolved*	Pending #
1	2018-19	NIL	NIL	NIL	NIL
2	2019-20	NIL	NIL	NIL	NIL
3	2020-21	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

*Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.

BOB Capital Markets Ltd

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