

### Investor complaints data for Investment Advisory

#### Data for every month ending – October 2022

SN	Received from	Pending as at the end of last month	Received*	Resolved*	Total Pending#
1	Directly from Investors	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL
3	Other Sources (if any)	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	0	0	0	0

#### Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending #
1	Apr-22	NIL	NIL	NIL	NIL
2	May-22	NIL	NIL	NIL	NIL
3	Jun-22	NIL	NIL	NIL	NIL
4	Jul-22	NIL	NIL	NIL	NIL
5	Aug-22	NIL	NIL	NIL	NIL
6	Sep-22	NIL	NIL	NIL	NIL
7	Oct-22	NIL	NIL	NIL	NIL
8	Nov-22				
9	Dec-22				
10	Jan-23				
11	Feb-23				
12	Mar-23				
	<b>Grand Total</b>	0	0	0	0

#### Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received	Resolved*	Pending #
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	0	0	0	0

\*Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.

**BOB Capital Markets Ltd**

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